



JOHN HAVENS JOINS NAPIER PARK GLOBAL CAPITAL AS PARTNER AND NON-EXECUTIVE CHAIRMAN

Will Advise on Business Strategy and Focus on Senior Client Relationships

NEW YORK, NY – January 14, 2014 – Napier Park Global Capital (“Napier Park”), a global alternative asset management firm, today announced that John P. Havens has joined the firm as partner and non-executive chairman.

“We are thrilled to welcome John to the Napier Park team,” said Jim O’Brien, co-managing partner of Napier Park. “John is a seasoned, well-recognized leader in the financial services industry who has always stood for integrity and creating the best solutions to meet the needs of his clients. Our team will benefit greatly from the full range of his management talent and experience.”

“We have known and worked with John for many years and we look forward to his guidance as we continue to build the business and expand our relationships with our institutional clients,” added Jon Dorfman, co-managing partner and chief investment officer of Napier Park.

Most recently, Havens was president and chief operating officer of Citigroup Inc. (NYSE: C), and was instrumental in stabilizing the firm in the wake of the 2008 financial crisis. Previously, he served as chairman of Citigroup Alternative Investments LLC following Citigroup’s 2007 acquisition of Old Lane LP, a multi-strategy hedge fund and private equity fund he co-founded in 2006. Prior to his tenure at Citigroup, Havens was with Morgan Stanley for almost two decades, ultimately ascending to the role of global head of the institutional equity division and a member of the firm’s management committee. Havens joined Morgan Stanley in 1986 as a principal in institutional equity and began his career in 1979 as a trader of convertible securities at Kidder Peabody.

Havens has a long history of professional and civic leadership. Over the years, he has served numerous NYSE committees and been on the board of directors for the NASDAQ market. His philanthropic activities focus on the areas of education, medical research and veterans. Currently, Havens is a director of Classroom, Inc., a director of the New York Genome Center and is a member of the advisory board for American Corporate Partners. He is also currently a member of the investment committee for Deerfield Academy. Havens holds a B.A. degree from Harvard University.

“Having seen what Jim, Jon and their team have been able to build, in addition to my familiarity with their management style as a result of our years together at Citigroup, made joining Napier Park an easy decision,” said Havens. “The innovative and tailor-made investment solutions they are able to devise are

precisely what institutional clients are looking for today and I look forward to supporting the further development of the Napier Park business over the coming years.”

Napier Park Global Capital completed its spinout from Citigroup on March 1, 2013, and is majority-owned by the firm’s employees.

About Napier Park Global Capital

Napier Park Global Capital is an independent alternative asset management firm that manages approximately \$6 billion as of December 1, 2013. The firm offers a diversified product mix including hedge funds, bespoke client solutions, private investments, CLOs and structured credit to large, sophisticated institutional investors. Napier Park Global Capital has offices in New York, London and Dubai. For more information visit www.napierparkglobal.com

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